



U.S. N. 09/833,407  
Transmittal of IDS

#4 1514

04676.10503

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Luchoomun *et al.*

Serial No.: 09/833,407

Art Unit: 1614

Filed: April 11, 2001

Examiner: Unknown

For: Compounds and Methods to Increase Plasma HDL Cholesterol Levels and Improved HDL Functionality

Assistant Commissioner for Patents  
Box IDS  
Washington, DC 20231

Information Disclosure Statement Transmittal

Sir:

Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, Applicants cite the publications listed on the accompanying PTO-1449. Copies of all listed references are enclosed.

Please charge any requisite fees associated with this paper to Deposit Account No. 11-0980.

Respectfully submitted,

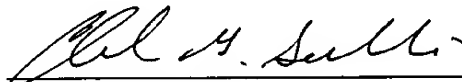
Clark G. Sullivan  
Reg. No. 36,942

KING & SPALDING  
191 Peachtree Street  
Atlanta, GA 30303  
404-572-2882 (Telephone)  
404-572-5145 (Facsimile)

U.S.S.N. 09/833,407  
Transmittal of IDS

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Clark G. Sullivan

Date: November 6, 2001

File No.: ATH113 (04676.105059)

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Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary) JAN 15 2002 Sheet <input type="checkbox"/> of <input type="checkbox"/> 3				Application Number	09/833,407
				Filing Date	April 11, 2001
				First Named Inventor	Luchoomun <i>et al.</i>
				Group Art Unit	1614
				Examiner Name	Not Assigned
				Attorney Docket Number	ATH113US (04676.105059)

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pgs, Cols, Lns, Where Relevant Passages/Figures Appear
		Number	Kind Code (if known)			
	AA	4,076,841		Wagner <i>et al.</i>	02/28/1978	
	AB	5,262,439		Parthasarathy	11/16/1993	
	AC	5,627,205		Regnier <i>et al.</i>	05/06/1997	
	AD	5,770,355		Brocia <i>et al.</i>	06/23/1998	

FOREIGN PATENT DOCUMENTS								
Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Date of Publication of Cited Document DD-MM-YYYY	Country	Pgs, Clms, Lns, Where Relv. Pgs/ Fig Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number	Kind Code <sup>2</sup> (if known)				
	AE	WO	95/30415	A1	16.11.1995	PCT-US		
	AF	WO	97/26258	A1	24.07.1997	PCT-EPO		
	AG	WO	98/30255	A2	16.17.1998	PCT-US		
	AH	WO	98/51289	A2	19.11.1998	PCT-US		
	AI	WO	98/51662	A2	19.11.1998	PCT-US		
	AJ	WO	99/24400	A1	20.05.1909	PCT-US		
	AK	WO	00/26184	A1	11.05.2000	PCT-Germany		
	AL	WO	00/28332	A1	18.05.2000	PCT-US		
	AM	EPO	212 310	A2	04.03.1987	EPO-Germany		
	AN	EPO	254 272	A2	27.01.1988	EPO		
	AO	EPO	292 660	A2	30.11.1988	EPO		
	AP	EPO	348 203	A1	27.12.1989	Great Britain		
	AQ	EPO	418 648	A1	27.03.1991	EPO-Germany		
	AR	FR	2.130.975		10.11.1972	France		

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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## Complete if Known

Application Number	09/815,262
Filing Date	March 11, 2001
First Named Inventor	Meng et al.
Group Art Unit	1614
Examiner Name	Not Assigned
Attorney Docket Number	04676.105055

## FOREIGN PATENT DOCUMENTS (CONTINUED)

BA	FR	2.133.024	24.11.1972	France	
BB	FR	2.134.810	08.12.1972	France	
BC	FR	2.140.769	19.01.1973	France	
BD	FR	2.168.137	31.08.1973	France(Eng. Trans.)	✓

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	BE	CHEMICAL ABSTRACT, DATABASE CHEMABS 'Online!', CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US, STN, Accession No. 127:75973, XP002115597, see abstract; RN 1706-68-9 & V.Z. LANKIN ET AL.: DOKL. AKAD. NAUK, V. 351, No. 4, 1996, pgs. 554-557.	
	BF	CHEMICAL ABSTRACT, DATABASE CHEMABS 'Online!', CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US, STN, CAPLUS Accession No. 1970:445047, XP002124423, see abstract; RN 27428-10-0, 27428-13-3, 27428-14-4, 27428-15-5, 27428-16-6, 27428-17-7, 27428-18-8, 27428-19-9 & M. B. NEUWORTH ET AL.: J. MED. CHEM., V. 13, No. 4, 1970, pp. 722-725.	
	BG	CHEMICAL ABSTRACT, DATABASE CHEMABS 'Online!', CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US, STN, CAPLUS Accession No. 1986:28675, XP002124424, see abstract; RN 99661-86-6, 99661-87-7, 27466-44-0 & CHEMICAL ABSTRACTS, Vol. 104, No. 5, 3 February 1986 (1986-02-03), Columbus, Ohio, US; Abstract No. 28675, abstract & P. DE MEGLIO ET AL.: FARMACO, ED. SCI., Vol. 40, No. 11, 1985, pages 833-844.	✓
	BH	COMINACINI et al., "Antioxidants Inhibit the Expression of Intercellular Cell Adhesion molecule-1 and Vascular Cell Adhesion Molecule-1 Induced by Oxidized LDL on Human Umbilical Vein Endothelial Cells," <u>Free Radical Biology &amp; Medicine</u> , Vol. 22, Nos. 1/2, pp. 117-127, 1997.	
	BI	FELDMAN, Davis, et al., "The In Vitro and Ex Vivo Antioxidant Properties, and Hypolipidemic Activity of CGP 2881," <u>Atherosclerosis</u> , 28 December 1998, Vol. 144, pp. 343-355.	
	BJ	FRUEBIS, Joachim, "A Comparison of the Antiatherogenic Effects of Probucol and of a Structural Analogue of Probucol in Low Density Lipoprotein Receptor-deficient Rabbits," <u>The American Society for clinical Investigation, Inc.</u> , July 1994, Vol. 94, pp. 392-398.	

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Signature

Date  
Considered

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### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS (CONTINUED)

CA	MAO et al., "Antioxidant Activity of Probucol and Its Analogues in Hypercholesterolemic Watanabe Rabbits," <u>Journal of Medicinal Chemistry</u> , January 1991, Vol. 34, No. 1, pp. 298-302.
CB	MAO et al. "Attenuation of Atherosclerosis in a Modified Strain of Hypercholesterolemic Watanabe Rabbits with Use of a Probucol Analogue (MDL29,311) That Does Not Lower Serum Cholesterol," <u>Arteriosclerosis and Thrombosis</u> , September/October 1991, Vol. 11, No. 5, pp. 1266-1275.
CC	RAMASAMY, Santhini et al., "Modulation of Expression of Endothelial Nitric Oxide Synthase by Nordihydroguaiaretic Acid, a Phenolic Antioxidant in Cultured Endothelial Cells," <u>Molecular Pharmacology</u> , 5 April 1999, Vol. 56, pp. 116-123.

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Signature

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